

18334 U.S. PTO  
06/26/03

Patent  
Attorney's Docket No. 008111-157

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING CONTINUATION/DIVISIONAL  
APPLICATION UNDER 37 C.F.R. § 1.53(b)

MAIL STOP PATENT APPLICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



21839

17858 U.S. PTO  
10/603656  
06/26/03

Sir:

This is a request for filing a ☐ continuation ☒ divisional application under 37 C.F.R. § 1.53(b) of pending Application No. 09/579,793 filed on May 26, 2000, for SUPPORTED GROUP 8-10 TRANSITION METAL OLEFIN POLYMERIZATION CATALYSTS, by the following named inventor(s):

- (a) Full Name Peter Borden MACKENZIE
- (b) Full Name Leslie Shane MOODY
- (c) Full Name Christopher Moore KILLIAN
- (d) Full Name Gino Georges LAVOIE

- ☐ Applicant(s) hereby requests that the above-captioned application **NOT BE PUBLISHED** under 35 U.S.C. § 122(b) and 37 C.F.R. § 1.211. The undersigned hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
- ☒ The entire disclosure of the prior application from which a copy of the oath or declaration is supplied herewith is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ This application is being filed by less than all the inventors named in the prior application. In accordance with 37 C.F.R. 1.63(d)(2), the Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application.

- (a) Full Name \_\_\_\_\_
- (b) Full Name \_\_\_\_\_
- (c) Full Name \_\_\_\_\_

- ☐ This application is being filed by more than all the inventors named in the prior application. In accordance with 37 C.F.R. 1.63(d)(5), a new oath or declaration is enclosed, and the Commissioner is requested to add the name(s) of the following person or persons who are inventors of the invention being claimed in this application.

- (a) Full Name \_\_\_\_\_
- (b) Full Name \_\_\_\_\_
- (c) Full Name \_\_\_\_\_

- ☐ Applicant(s) suggests Figure      for inclusion on the front page of the patent application publication and/or patent.
- ☒ Applicant(s) requests that the published application include the following assignment information: Eastman Chemical Company, Kingsport, Tennessee (USA).
1. ☒ Enclosed is a copy of the prior Application No. 09/579,793 as originally filed on May 26, 2000, including copies of the specification, claims, drawings and the executed oath or declaration as filed.
  2. ☐ Enclosed is a revised prior application and a copy of the prior executed oath or declaration as filed. No new matter has been added to the revised application.
  3. ☐ Small entity status is hereby claimed.
  4. ☒ The filing fee is calculated below ☐ and in accordance with the enclosed preliminary amendment:


C L A I M S					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$750.00 (1001)
Total Claims	22	MINUS 20 =	2	× \$18.00 (1202) =	\$36.00
Independent Claims	5	MINUS 3 =	2	× \$84.00 (1201) =	\$168.00
If multiple dependent claims are presented, add \$280.00 (1203)					-0-
Total Application Fee					\$954.00
If small entity status is claimed, subtract 50% of Total Application Fee					N/A
Add Assignment Recording Fee of \$ <u>    </u> if Assignment document is enclosed					-0-
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$954.00</b>

5. ☐ This application is being filed without a filing fee. Issuance of a Notice to File Missing Parts of Application is respectfully requested.
6. ☐ Charge \$            to Deposit Account No. 02-4800 for the fee due.
7. ☒ A check in the amount of \$ 954.00 is enclosed for the fee due.
8. ☒ The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.
9. ☒ Cancel in this application original claims 1-24 and 47 of the prior application before calculating the filing fee.

10. ☐ New drawings are enclosed.
11. ☐ Priority of Application No.      filed on      in      (country) is claimed under 35 U.S.C. § 119.
- ☐ The certified copy of the priority application
- ☐ is enclosed.
- ☐ was filed on      in prior Application No.     , filed on     , and acknowledged by the Examiner on      in Paper No.     .
- ☐ has not yet been filed.
12. ☐ Please amend the specification by inserting before the first line the sentence:
- ☐ --This application is a ☐ continuation ☐ divisional of Application No.     , filed on     --.
- ☐ --This application is a ☐ continuation ☐ divisional of U.S. Application No.     , filed on     , which was a national stage filing under 35 U.S.C. § 371 of International Application No.      filed on     --.
- ☐ --This application is a ☐ continuation ☐ divisional of U.S. Application No.     , filed on     , which was a continuation of International Application No.     , filed on     --.
13. ☒ A preliminary amendment is enclosed.
14. ☒ An Information Disclosure Statement is enclosed.
15. ☐ A General Authorization for Payment of Fees and Petitions for Extensions of Time is enclosed.
16. ☐ Also enclosed     .
17. ☒ The power of attorney in the prior application is to Burns, Doane, Swecker & Mathis, LLP, Jonathan D. Wood, Bernard J. Graves, Jr., and Nhat D. Phan.
- a. ☒ The power appears in the original papers in the prior application.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☐ Recognize as Associate Attorney     .
- d. ☒ Address all future communications to: (May only be completed by applicant, or attorney or agent of record.)

NHAT D. PHAN, ESQ.  
BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
P.O. Box 1404  
Alexandria, VA 22313-1404

Date: June 26, 2003

By:   
Nhat D. Phan  
Registration No. 39,581

ADDRESS OF SIGNATOR:

BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
P.O. Box 1404  
Alexandria, VA 22313-1404  
703/836-6620

☐ inventor(s)  
☐ assignee of complete interest  
☒ attorney or agent of record  
☐ filed under 37 C.F.R. § 1.34(a)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of	)	<b>MAIL STOP PATENT APPLICATION</b>
Peter Borden MACKENZIE et al.	)	Group Art Unit: Unassigned
Application No.: Unassigned	)	Examiner: Unassigned
(Divisional of Appl. No. 09/579,793)	)	Confirmation No.:
Filed: June 26, 2003	)	
For: SUPPORTED GROUP 8-10	)	
TRANSITION METAL OLEFIN	)	
POLYMERIZATION CATALYSTS	)	

**FIRST INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.


- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: June 26, 2003

By:   
Nhat D. Phan  
Registration No. 39,581

P.O. Box 1404  
Alexandria, VA 22313-1404  
703/836-6620